



Folio No.:	
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Transferor Details		
Principal Account Holder Name		
Contact Number		
Fund Name(s)	Fund Type	No. of Units

Transferee Details		
Folio #	Title of Account	Relationship with Transferor

FOR BRANCH USE ONLY	FOR HEAD OFFICE USE ONLY
Receiving Branch:_____ Receiving Person Name: _____ Receiving Person Signature:_____	Required Documents: ☐ Complete ☐ Incomplete Verification done through: ☐ Call ☐ Email Receiving Person Signature: _____ CRM TicketNo: _____
Branch Manager Verification: I confirm that I have endorsed the request after physically verifying the customer. Branch Manager Name: _____ Branch Manager Signature: _____ and Stamp	Time Stamp:

**CHECK LIST FOR TRANSFER OF UNITS
(DECEASED/TRANSFER/MINOR TO MAJOR)
TO BE FILLED AND SIGNED BY THE SALES OFFICER
AND FRONT DESK STAFF**



Lucky Investments

FOR TRANSFER OF UNITS REQUESTS:

Following checkings have been performed with respect to the attached unit transfer request.

1. General Requirements

S. No.	Requirements	Select
1	Completely filled and signed Unit Transfer form has been received and attached	
2	Signed Request letter for unit transfer has been received and attached	
3	Copy of valid CNICs for transferor and transferee has been received and attached	

2. Requirement for Deceased Case

S. No.	Requirements (as per Deceased Policy)	Select
1	Joint written request from all legal heirs requesting redemption has been received and attached	
2	An attested copy of the death certificate (NADRA) of the deceased unit holder	
3	Affidavit-cum-indemnity from all the legal heirs	
4	Personal guarantee of two individuals	
5	Notice in Newspaper	
6	Succession Certificate (if amount is greater than Rs. 500,000/-)	
7	Attested CNIC copies of legal heirs	

3. Customer Account Verification (Please (✓) tick where appropriate)

S. No.	For Transferor	For Transferee
1	Valid email address is updated in our records	Valid email address is updated in our records
2	Valid cell # is updated in our records	Valid cell # is updated in our records
3	Zakat declaration has been obtained	Zakat declaration has been obtained
4	Valid updated bank account details are available in our records	Valid updated bank account details are available in our records
5	Account is not marked unverified for any reason	Account is not marked unverified for any reason
6	CIP Attached (if required)	CIP Attached (if required)

Check list filled by		Checked by	
Name of Staff	Signature	Name of Line Manager	Signature