Fund Conversion Form

Lucky Investments

Please read the "Guidelines" overleaf before filling this form.

Date:_____ Folio No. _____

PRINCIPAL ACCOUNT HOLDER DETAIL

Account Title_____ CNIC/NICOP/NTN | | | | | | - | | | | | | | | - | |

DETAILS OF CONVERSION

	Convert From Fund / Plan	Convert To Fund / Plan
No.	Name of Fund	Name of Fund Plan
1.		
2.		
3.		

1.	Conversion Amount (Rs./Units / %):	Amount in words:
2.	Conversion Amount (Rs./Units / %):	Amount in words:
3.	Conversion Amount (Rs./Units / %):	Amount in words:

Certificates Issued ☐ No ☐ Yes Certificate No:_____ is/are attached with this Form.

COOLING - OFF RIGHT FOR INDIVIDUAL UNIT HOLDERS

All Individual Investors have a right to obtain a refund of their first time investment (cooling-off right) in a Collective Investment Scheme (CIS) managed by Lucky Investments Limited (LIL). The Unit Holder may exercise cooling-off right within three (3) business days commencing from the date of issuance of initial Statement of Account (cooling-off period). The cooling-off right shall be exercised by the unit holder upon written request to the LIL within the time specified. The refund pursuant to the exercise of a cooling-off right shall be paid to the Unit Holder be an amount equal to NAV per unit applicable on the date the cooling-off right exercise which is payable within six (6) business days of receipt of written request from the Unit Holder. AMC shall refund the Front end load (Sales Load) paid by the unit holder, however contingent load (Back end load) will be payable by the unit holder where applicable, in accordance with the Direction No. 31 of 2016 issued by Securities and Exchange Commission of Pakistan.

DECLARATION AND SPECIMEN SIGNATURE OF ACCOUNT HOLDER(S)

I/We confirm having files & signed this application form after having read and understood the relevant Trust Deed(s), Offering Document(s) and all supplemental of the respective underlying Fund(s)/ Plan and further acknowledge the risk involved in mutual funds. I acknowledge that I have read the Key Fact Statement at the time of investment, and I have read and understood the terms and conditions to the best of my knowledge and have retained copy of the same. I/ we confirm that I have understood the details of Sales Load to be deducted including taxes & all investment in mutual fund are subject to market risk which could result in loss of principle investment. I / we have understand that conversion (either in part or full) is liable to capital gain tax / Zakat, if any

Applicant / Guardian's Signature _____

Joint Applicants /Authorized Signature(s) 1. _____ 2. _____ 3. _____ 4. _____

Date: _____

*Rubber stamp required in case of Institutional Clients

DISTRIBUTOR DETAILS (FOR OFFICE USE ONLY)

Distributor / Facilitator name_____ Conversion date _____

Distributor / Facilitator code_____ Authorized signature _____

REGISTRAR DETAILS (FOR OFFICE USE ONLY)

Particulars verified by (Name & Signature) _____ Conversion date_____

Data input by _____ Form No_____ Certificates verified and defaced by _____

Name of Authorized Person _____ Authorized Signature _____

INVESTOR RECEIPT (TO BE FILLED BY DISTRIBUTOR / FACILITATOR)

Date_____ Account Title_____

Conversion From Conversion To_____ Amount in PKR_____

Authorized Name & Signature_____

Version: LIL-FC-Form-241123

Risk Disclosure Statement (for Individual)

Lucky Investments

Name of Funds	Risk Profile	Recommended Investment Duration	Account Payee Title	Sales Load (Up to)
• Lucky Islamic Stock Fund	High	3-5 years & above	CDC Trustee Lucky Islamic Stock Fund	3.00%
• Lucky Islamic Energy Fund	High	3-5 years & above	CDC Trustee Lucky Islamic Energy Fund	3.00%
• Lucky Islamic Dividend Yield Fund	High	3-5 years & above	CDC Trustee Lucky Islamic Dividend Yield Fund	3.00%
• Lucky Islamic Income Fund	Moderate	1-2 year(s) & above	CDC Trustee Lucky Islamic Income Fund	3.00%
• Lucky Islamic Fixed Term Fund	Low - Medium	Term Based	CDC Trustee Lucky Islamic Fixed Term Fund <Plan Name>	Not Applicable
• Lucky Islamic Cash Fund	Low	0-1 year(s) & above	CDC Trustee Lucky Islamic Cash Fund	3.00%
• Lucky Islamic Money Market Fund	Low	0-1 year(s) & above	CDC Trustee Lucky Islamic Money Market Fund	3.00%
• Lucky Islamic Pension Fund	Allocation Dependent	Minimum 60 years of age or 25 years of contribution	CDC Trustee Lucky Islamic Pension Fund	3.00%

TO BE FILLED BY INVESTOR

I/We confirm that I/we am/are investing in_____Fund and the risk level of this fund is mentioned in the table given above. I/We confirm that I/We will not hold LIL responsible for any loss which may occur as a result of my/our decision. I/We further agree that LIL has advised me/us to select a specific fund category as per my/our risk profile. However, I/we reserve the discretion to invest in any other fund category. I/we further confirm that I/we have read the Fund Manager Report, Trust Deeds, Offering Documents, Supplemental Trust Deeds and Supplemental Offering Documents that govern these investment/conversion transaction.

میں/ہم اس بات کی تصدیق کرتے ہیں کہ میں/ہم _____ فنڈ میں سرمایہ کاری کر رہے ہیں اور اس فنڈ کے رِسک لیول کا ذکر نیچے جدول میں کیا گیا ہے۔ میں/ہم اس بات کی تصدیق کرتے ہیں کہ میں/ہم لکی انویسٹمنٹ لمیٹڈ (لکی) کو کسی بھی نقصان کیلئے ذمّے دار نہیں ٹھہرائیں گے جو میرے/ہمارے فیصلے کے نتیجے میں ہوسکتا ہے۔ میں/ہم مزید اتّفاق کرتے ہیں کہ لکی نے میرے/ ہمارے رِسک پروفائل کے مطابق ایک مخصوص فنڈ کیٹگری کی تجویز پیش کی ہے۔ تاہم، مجھے/ ہمارے پاس کسی بھی فنڈ کے زمرے میں سرمایہ کاری کرنے کی صوابدید ہے۔ میں/ہم مزید تصدیق کرتے ہیں کہ میں/ہم نے فنڈ منیجر کی رپورٹ، ٹرسٹ ڈیڈ، آفرنگ ڈاکومنٹ، ضمنی ٹرسٹ ڈیڈ اور ضمنی آفرنگ ڈاکومنٹ کو پڑھا ہے۔

_____ Dated

_____ Signature of Principal / Joint Account Holder(s)

Declaration and Specimen Signature of the Sales Person

I, _____, hereby confirm the following:

1. I have explained the risk of the fund being sold to investor
2. I have explained that the principal is at risk (in case of high risk funds) and the investor can lose money
3. I have not made or implied any guarantee with respect to return or investment amount
4. I have not quoted an fixed return percentage or amount to the investor
5. I have shown all the relevant material before finalizing the investments (i.e. FMR, Marketing Material etc)

_____ Name & Signature of Sales Agent

_____ Name & Signature of Immediate Supervisor

_____ Date

_____ Date

Version: LIL-AOF-I-Form-100124