Securities and Exchange Commission of Pakistan
Licensing and Registration Division
Licensing Department

License No. SECP/LRD/LD/16/AMCW/ILAM/2022 **Islamabad, April 21, 2025**

LICENCE TO CARRY OUT ASSET MANAGEMENT SERVICES AS NON-BANKING FINANCE COMPANY

The Securities and Exchange Commission of Pakistan, having considered the application for renewal of license submitted by **Lucky Investments Limited** under rule 5 of the Non-banking Finance Companies (Establishment and Regulation) Rules, 2003, and being satisfied that it would be in the public interest so to do, in exercise of powers conferred by sub rule (9) of rule 5 of the rules, hereby renews the license of **Lucky Investments Limited** to carry out **Asset Management Services** subject to the conditions stated herein below or as may be prescribed or imposed hereafter:

i. **Lucky Investments Limited** shall comply with the Companies Act 2017, Part VIII A of the Companies Ordinance 1984, the Rules, the Non-Banking Finance Companies and Notified Entities Regulations, 2008 (as amended or replaced) and any directives, circulars, codes, notifications and guidelines issued by the Commission;

ii. **Lucky Investments Limited** shall ensure to provide and update requisite information and documents regarding any foreign national promotors, sponsors, shareholders, directors and Ultimate beneficial owners, and any change thereof, to the relevant Company Registration Office of the Commission for seeking security clearance of Ministry of Interior (MOI), as per applicable framework. In case any of the foreign national promotors, sponsors, shareholders, directors and Ultimate beneficial owners are refused security clearance by the MOI, Lucky Investments Limited shall take immediate steps for changing/replacing them and transferring their shares, failing which the Commission may cancel the license of Lucky Investments Limited.

iii. **Lucky Investments Limited** shall submit annual, half yearly, quarterly or such other reports as specified in the applicable laws;

iv. **Lucky Investments Limited** shall ensure compliance with the minimum equity requirement at all times as prescribed by the Commission from time to time; and

v. The license is valid for a period of three years w.e.f. **April 18, 2025** and shall be renewable every three years as specified in the Rules.

(Ms. Najia Ubaid)
HOD (LRD)